

Sheet 1 of 1

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| Form PTO-1449 (REV. 8-83) US Dept. of Commerce PATENT & TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | ATTY DOCKET NO. 111608 | APPLICATION NO. 10/032,093 |
| | APPLICANT Kenji HORI | |
| | FILING DATE December 31, 2001 | 2873 |

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS |
|---------------------|-----------------|------|------|-----------|--------------|
| | | | | TECHNICAL | RECEIVED |
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FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB CLASS |
|---------------------|------------------------------|------------|---------|-------|--------------|
| M. H | JP A 2000-162409 w/ abstract | 06/16/2000 | Japan | | |
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

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| M. H | Ueyanagi et al., "Proposal of a Near-Field Optical Head Using a New Solid Immersion Mirror", Japanese Journal of Applied Physics, Vol. 39, pp. 888-891, 2000 |
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| EXAMINER <i>[Signature]</i> | DATE CONSIDERED 9/19/02 |
| Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | |

Date: April 18, 2002